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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Wang, et al. EXAMINER: N/A  
SERIAL NO.: 10/700,245 GROUP: 2874 CONF. NO. N/A  
FILED: 11/03/2003 DOCKET: NGC-211 / 11-1114  
TITLE: SLOW WAVE OPTICAL WAVEGUIDE FOR VELOCITY MATCHED  
SEMICONDUCTOR MODULATORS

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**REQUEST FOR CHANGE OF CORRESPONDENCE ADDRESS AND  
ATTORNEY DOCKET NUMBER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Please forward all future correspondence to the following address:


Carmen B. Patti  
Patti & Brill, LLC  
One North LaSalle Street, 44t Floor  
Chicago, Illinois 60606  
Customer Number 32205

Kindly change the attorney docket number to: -- NGC-211 / 11-1114 --.

Please direct any questions to the undersigned at (312) 346-2800.

**CERTIFICATE OF MAILING**

Respectfully submitted,

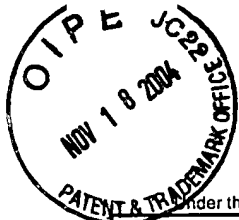
  
Carmen B. Patti  
Reg. No. 26,784

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 15, 2004.

  
Carmen B. Patti

November 15, 2004

Patti & Brill, LLC  
Customer Number 32205



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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Wang, et al.

Application No./Patent No.: 10/700,245 Filed/Issue Date: 11/03/2003

Entitled: Slow Wave Optical Waveguide for Velocity Matched Semiconductor Modulators

Northrop Grumman Corporation, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_

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[ ] Additional documents in the chain of title are listed on a supplemental sheet.

[ ] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

11/15/04  
Date

(312) 346-2800

Telephone number

Carmen B. Patti

Typed or printed name  
Carmen B. Patti

Signature

Partner

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/82 (09-03)

Approved for use through 11/30/2005. OMB 0651-0035

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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/700,245
Filing Date	11/03/2003
First Named Inventor	Wang, et al.
Art Unit	2874
Examiner Name	N/A
Attorney Docket Number	4675-000351

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

32205

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

32205

OR

☐ Firm or  
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name Scot R. Hewitt, Senior Counsel of Northrop Grumman Corporation

Signature

Date

Telephone

(310) 813-1550

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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